

1 Charles E. Lipsey (pro hac vice)
Edna Vassilovski (pro hac vice)
2 FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.
3 1300 I Street, N.W., Suite 700
Washington, D.C. 20005-3315
4 Telephone: (202) 408-4000
Facsimile: (202) 408-4400
5

6 Thomas W. Banks (SBN 195006)
John W. Burns (SBN 190031)
FINNEGAN, HENDERSON, FARABOW,
7 GARRETT & DUNNER, L.L.P.
700 Hansen Way
8 Palo Alto, California 94304
Telephone: (650) 849-6600
9 Facsimile: (650) 849-6666

10 WRIGHT & L'ESTRANGE
John H. L'Estrange, Jr. (SBN 49594)
11 Imperial Bank Tower, Suite 1550
701 "B" Street
12 San Diego, California 92101-8103
Telephone: (619) 231-4844

13 Attorneys for Defendant VYSIS, INC.
14

15 UNITED STATES DISTRICT COURT
16 SOUTHERN DISTRICT OF CALIFORNIA

17 GEN-PROBE, INCORPORATED,

18 Plaintiff,

19 v.

20 VYSIS, INC.,

21 Defendant.
22

CASE NO. 99CV 2668H (AJB)

**DECLARATION OF DAVID J. LANE,
Ph.D. IN SUPPORT OF MOTION OF
VYSIS, INC. TO COMPEL GEN-
PROBE, INCORPORATED TO
PRODUCE RESEARCH AND
DEVELOPMENT AND
COMMERCIAL SUCCESS
DOCUMENTS**

Date: December 12, 2000

Time: 11:00 A.M.

Dept.: Courtroom A

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27 I, David J. Lane, Ph.D. declare and state as follows:
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1 1. My name is David J. Lane. I have personal knowledge of the facts set forth in this
2 declaration. Those facts are true.

3 2. I am presently a Senior Director in Research and Development ("R&D") with Vysis,
4 Inc. ("Vysis") in Downer's Grove, Illinois. I have been with Vysis since 1995. My work with Vysis
5 has been concentrated mainly in the areas of nucleic acid-based diagnostic assays for infectious
6 diseases and nucleic acid-based microarray technology. From 1985 to 1995 I was employed in
7 Framingham, Massachusetts by a succession of business entities that can generally be referred to as
8 Gene-Trak Systems or, more simply, Gene-Trak. The Gene-Trak entities or their successors are now
9 owned by Vysis. My work at Gene-Trak was predominantly in the area of nucleic acid-based
10 diagnostic assays for infectious diseases.

11 3. I received a B.S. in Biology from the State University of New York at Stony Brook in
12 1973. I was awarded a Ph.D. in Biophysics, Biochemistry & Genetics from the University of
13 Colorado Health Services Center, Denver, CO in 1983.

14 4. I am familiar with the technology that is the subject of Vysis's U.S. Patent
15 No. 5,570,338 ("338 patent") at issue in this case. I understand that the patent generally discloses
16 and claims methods (assays) for amplifying and/or detecting a target polynucleotide in a sample
17 using the steps of target capture and amplification. A polynucleotide is a portion of the nucleic acid
18 (e.g., DNA) of an organism. In nucleic acid-based diagnostics, certain specific polynucleotides can
19 be used to identify target organisms. Target capture refers to separating the target polynucleotide
20 from the other components of a sample, including substances that might interfere with subsequent
21 steps of a diagnostic assay, and other "non-target" polynucleotides. Amplification refers to making
22 many copies of the target polynucleotide (or its complement), by a variety of in vitro molecular
23 techniques that are well known in the literature, so that the target polynucleotide can be detected
24 and/or measured.

25 5. I was extensively involved in efforts by Gene-Trak in the early 1990's to develop
26 an automated instrument for detecting target polynucleotides in samples using target capture and
27 amplification, as taught and claimed by the '338 patent. My work on this project included oversight
28 of R&D efforts in probe development, sample processing and amplification technology.

1 Exhibit A.). The abstract explicitly states, "This assay format will be fully automated on the TIGRIS
2 instrument." Another Gen-Probe poster exhibited at the same meeting (C-132, attached hereto as
3 Exhibit A.) describes an amplified target capture assay for the quantitative determination of HIV-1
4 RNA in plasma of AIDS patients.

5 10. At the 1999 national meeting of the American Society for Microbiology in Chicago, I
6 had a discussion with ex-Gene-Trak researcher Tom Shimei, who was working at a Gen-Probe booth
7 displaying Gen-Probe's TIGRIS automated instrument for detecting target polynucleotides. Mr.
8 Shimei explained that the TIGRIS instrument now used both target capture and amplification. Mr.
9 Shimei, along with ex-Gene-Trak researcher Jay Shaw and others, also had a poster at that meeting
10 describing the TIGRIS instrument. (C-127, attached hereto as Exhibit B.) Again, it is clear from the
11 abstract that the TIGRIS employs both target capture and amplification. As stated in the abstract,
12 "Sample processing is accomplished with Target Capture technology. Specific nucleic acid
13 sequences are captured onto magnetic microparticles. Purified nucleic acids are then amplified
14 isothermally by Transcription-Mediated Amplification (TMA)." Jay Shaw and others from Gen-
15 Probe also presented a poster at this meeting describing Gen-Probe's nucleic acid-based assay for
16 Chlamydia trachomatis and Neisseria gonorrhoeae using target capture and amplification. (C-126,
17 attached hereto as Exhibit B.)

18 11. It is my understanding that Gen-Probe's commercial nucleic acid test ("NAT") kits
19 for screening blood samples for HIV and HCV use a combination of target capture and amplification
20 as taught and claimed by the Vysis '338 patent.

21 12. Based on my understanding of the development of Gen-Probe's assays and its
22 TIGRIS instrument for detecting target polynucleotides, as described above, I believe Gen-Probe
23 was not able to successfully develop an effective automated assay until it used the combination of
24 target capture and amplification as taught and claimed by the '338 patent. I also believe it is likely
25 that Gen-Probe was able to do so with the benefit of the experience and knowledge gained from the
26 former Gene-Trak employees in its employ and thus copied Vysis's technology of combining target
27 capture with amplification.

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